



# ROLES AND RESPONSIBILITIES

## Sponsored Projects Administration

### Proposal Development (Develop Proposal)



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# ROLES AND RESPONSIBILITIES

## Sponsored Projects Administration

This document defines the roles and responsibilities of those involved in the identification, assembly, and submission of proposals related to sponsored projects at the University of Michigan (U-M).

The “Unit Admin” column encompasses any research administrator, unit administrator, or higher level within the unit. Because many units across campus provide services in different ways, it was not possible to delineate these roles in a uniform way across campus. Individual units are encouraged to explore developing a companion list that best describes their home unit and delivery of services in their context.

Principal Investigators, however, do have specific responsibilities delineated in the [U-M Standard Practice Guide \(SPG\)](#). Although often research administrators help perform these tasks, the PI has been reflected as responsible. If you are unfamiliar with the RASCI system, you may view a brief, online tutorial:

<https://www.youtube.com/watch?v=1U2gngDxFkc>

ROLES	
<b>R</b>	Responsible for the correct and thorough completion of the work to achieve the task
<b>A</b>	Accountable for the correct and thorough completion of the task, typically delegating the work to those responsible
<b>S</b>	Support for those who are responsible or accountable
<b>C</b>	Consulted as needed in order to complete the task (two-way communication)
<b>I</b>	Informed that task is underway/completed (one-way communication)

RESPONSIBLE PARTY			
<b>PI</b>	Principal Investigator		
<b>UNIT ADMIN</b>	Research Administrators, Department/School/College or Equivalent		
<b>ORSP</b>	Office of Research and Sponsored Projects		
<b>FSP</b>	Finance-Sponsored Programs		
<b>OTHER</b>			
<i>BEC</i>	Business Engagement Center	<i>IACUC</i>	Institutional Animal Care & Use Committee
<i>COI</i>	Conflict of Interest Committee	<i>IC</i>	International Center
<i>CTSU</i>	Clinical Trial Support Unit	<i>OFA</i>	Office of Financial Aid
<i>EHS</i>	Environment, Health & Safety	<i>OGC</i>	Office of General Counsel
<i>FinOps</i>	Financial Operations	<i>OTT</i>	U-M Tech Transfer
<i>FR</i>	Foundation Relations	<i>PROC</i>	Procurement Services
<i>HRPP</i>	Human Research Protection Program	<i>RACK</i>	Rackham Graduate School
<i>HR</i>	Human Resources	<i>SSC</i>	Shared Services Center
<i>HSIP</i>	Human Subject Incentives Program	<i>Treasury</i>	Treasurer’s Office
<i>IBC</i>	Institutional Biosafety Committee	<i>UMOR</i>	U-M Office of Research



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<b>R</b>	Responsible
<b>A</b>	Accountable
<b>S</b>	Supporting
<b>C</b>	Consulting
<b>I</b>	Informing

### Proposal Development

(Develop Proposal)



	PI	UNIT ADMIN	ORSP	FSP	OTHER
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#### General

Identify and communicate required training courses for PI to be eligible to receive funding	I	I	I		UMOR – A/R
Provide centralized funding opportunity resources to the campus community	I	I	S		UMOR – A/R
Maintain database of proposal submissions and awards	I	S	A/R	I	
Act as institutional authority as to acceptability of sponsor requirements	S/C	S/C	A/R		UMOR – S FR, BEC, OTT – C

#### Proposal Development

Prepare proposal that meets requirements outlined in the application instructions, including applicable rules and regulations.	A/R	R	S/C		
Prepare scholarly proposal	A/R	S			
Request on-or-off-campus space needed in addition to that already assigned to the PI	A/R	S/C			
Request modifications or renovations to on-campus or off-campus space if necessary	A/R	S/C			
Prepare Proposal Approval Form (PAF)	A	R	C		
Monitor PAF Progress as it routes through the internal review process	I	A/R			
Develop appropriate budget and budget justification to accomplish the scope of work	A	R	C		
Requests matching funds according to University policy	A/R	S/C	C		
Identify the appropriate Facilities & Administrative cost rate	S/I	A/R	C		
Request a Facilities & Administrative cost waiver, if appropriate	A/R	S/C			
Identify and evaluate issues related to program income	S	A/R	C		
Verify that budget items are in accordance with Uniform Guidance (which also includes cost accounting standards)	S	A/R	C		
Request and obtain budget, scope of work and relevant documents from subcontractors and consultants	A	R			
Work with Procurement to develop Small Business/Minority Subcontracting Plans as required	A	R			PROC – C



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	PI	UNIT ADMIN	ORSP	FSP	OTHER
Obtain letters of support of collaborators	<b>A/R</b>	<b>S</b>			

### Compliance

Disclose conflicts of interest and commitment	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>COI – C</b> <b>OTT – S</b>
Disclose human subjects research	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>HRPP – C</b>
Disclose animal research	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>IACUC – C</b>
Disclose use of radioactive materials in research	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>EHS – C</b>
Disclose biological toxins and select agent use	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>IBC – C</b> <b>EHS – C</b>
Disclose hazardous materials use	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>IBC – C</b> <b>EHS – C</b>
Disclose human pluripotent stem cell use	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>UMOR – C</b>
Disclose export controls involvement	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>UMOR – C</b>
Identify related intellectual property disclosures	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>OTT – C</b>
Disclose related Unfunded Agreements	<b>A/R</b>	<b>S</b>	<b>I</b>		
Disclose nanoscale particles/fabrication use	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>EHS – C</b>
Disclose any known restrictions on openness of research	<b>A/R</b>	<b>S</b>	<b>I</b>		
Ensure applicant has completed required training courses (PEERRS)	<b>A/R</b>	<b>S</b>	<b>I</b>		<b>UMOR – S</b>