



Standard Operating Procedures & Policies

Category:	Proposals
Procedure No.:	200.03
Title:	Reporting of Other Support

I. Purpose & Overview

A. Purpose

To describe the Office of Research and Sponsored Projects’ (ORSP) policy requiring the true, complete and accurate reporting of all sources of support for a faculty member’s research and other sponsored activities.

B. Background

Prior to the issuance of an award or as part of the annual progress reporting process, many external funding agencies require that U-M provide a list of all active and pending sources of support for the participating faculty members’ research and other sponsored activities. This requirement is primarily in place to assure the funding agency that (1) it is not providing funds for work that is already being supported by another source, and (2) the participating faculty have sufficient time available to conduct the work that it will be funding.

The National Institutes of Health (NIH), for example, call this information **Other Support**, which includes “all financial resources, whether Federal, non-Federal, commercial or institutional, available in direct support of an individual’s research endeavors, including but not limited to research grants, cooperative agreements, contracts, and/or institutional awards.” NIH requires under its Just-In-Time Policy that documentation of Other Support be submitted prior to award for all senior/key personnel; NIH also requires that this information be updated as necessary in the annual Research Performance Progress Report (RPPR).

The National Science Foundation (NSF), as another example, calls this information **Current and Pending Support**, and defines it as “All current project support from whatever source (e.g., Federal, State, local or foreign government agencies, public or private foundations, industrial or other commercial organizations, or internal funds allocated toward specific projects).” NSF requires that Current and Pending Support information be included at the time of proposal submission.

II. Procedure / Policy

ORSP requires that every disclosure to an external funding agency of a faculty member’s active and pending sources of support for research and other sponsored activities be true, complete and accurate to the best of the faculty member's knowledge. This requirement applies regardless of the source of support, the official recipient of the source of support, or when the disclosure is made (e.g., at proposal submission, prior to award acceptance, or as part of the annual progress report).

False, fictitious, or fraudulent statements or claims (including intentional omissions) in violation of this policy may result in criminal, civil, administrative or University penalties.

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