

# Subrecipient Statement of Collaborative Intent

## Part I: To be completed by all subrecipients/subcontractors

All subrecipients, as well as potential subcontractors who anticipate funding under a federal or non-federal contract, should complete this form when participating as a subrecipient or subcontractor in a proposal submitted by the University of Michigan. This form provides a checklist of documents and certifications required by prime sponsors and if it is completed, it must be endorsed by the subrecipient's authorized institutional representative prior to proposal submission.

<b>1. UNIVERSITY OF MICHIGAN PROPOSAL INFORMATION – Pass-Through Entity (PTE)</b>	
PTE PI: PTE Proposal Number:	Prime Sponsor: If Prime Sponsor is NIH: (1) is this an MPI project: <input type="checkbox"/> Yes <input type="checkbox"/> No (2) Is the subrecipient a foreign entity: <input type="checkbox"/> Yes <input type="checkbox"/> No
<b>2. SUBRECIPIENT PROPOSAL INFORMATION</b>	
Legal Name: Address:  Phone Number: Subrecipient PI: Subrecipient PI Email: Place of Performance: Congressional District: UEI Number:	Authorized Official Name: Authorized Official Email: Authorized Official Phone #: Financial Contact Name: Financial Contact Email: Project Title: Project Period: Total Request Amount: Subrecipient Proposal #:
<b>3. PROPOSAL DOCUMENTS</b>	
The following documents are included in our subaward proposal and covered by the certifications below: <input type="checkbox"/> Scope of Work (Required) <input type="checkbox"/> Facilities & Other Resources <input type="checkbox"/> Budget and Justification (Required) <input type="checkbox"/> Cost Sharing Amount (if applicable): <input type="checkbox"/> Biographical Sketches <input type="checkbox"/> Other:	
<b>4. CERTIFICATIONS</b>	
<i>Documentation of Subrecipient's approval(s) may be required</i>	
Subrecipient's Scope of Work Includes: <input type="checkbox"/> Human Subjects If human subjects are involved, have all key personnel completed human subjects training? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Vertebrate Animals <input type="checkbox"/> Custom made antibodies <input type="checkbox"/> Human induced pluripotent or Human Embryonic Stem Cells <input type="checkbox"/> Recombinant DNA	<input type="checkbox"/> Dual Use Research of Concern (DURC) For a list of applicable agents, <a href="#">see page 9 of NIH policy</a> . <input type="checkbox"/> <b>If NIH:</b> Large Scale Human or Non-Human Genomic Data For applicability, please refer to <a href="#">NOT-OD-14-124</a> . Documentation of an approved consent form and Institutional Certification will be required prior to the award, at the "Just in Time" stage. <input type="checkbox"/> <b>If Dept. of Energy:</b> All covered individuals have completed research security training consistent with Section 10634(B) of the Chips and Science Act of 2022.
<b>5. FDP Clearinghouse</b>	
Does the subrecipient entity participate in the <a href="#">FDP Clearing house</a> ? <input type="checkbox"/> Yes <input type="checkbox"/> No If <b>YES</b> , complete this page and sign below. (Do not complete Part II of this form.) If <b>NO</b> , complete <i>both</i> Parts I and II of this form and sign below.	

If the Prime Awarding Sponsor is the National Institutes of Health, we, the subrecipient organization, agree to abide by all requirements of the NIH Final Updated Policy Guidance for Subaward/Consortium Written Agreements (NOT-OD-23-182), and will provide access to copies of all lab notebooks, all data, and all documentation that supports the research outcomes as described in the progress report, to the primary recipient with a frequency of not less than once per year, in alignment with the timing requirements for Research Performance Progress Report (RPPR) submission. Such access may be entirely electronic.

By signing below, I certify that I am an authorized institutional representative, and the information and representations made herein are true, accurate and complete. The appropriate programmatic and administrative personnel involved in this application are aware of all sponsor policies regarding subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.

\_\_\_\_\_  
Signature of Subrecipient's Authorized Institutional Official

\_\_\_\_\_  
Name and Title of Subrecipient's Authorized Institutional Official

\_\_\_\_\_  
Date

# Subrecipient Statement of Collaborative Intent

## Part II: To be Completed by Subrecipients/Subcontractors NOT participating in the FDP Clearinghouse Pilot

See [List of participating FDP organizations](#).

Certifications
<p>1. <b>Facilities &amp; Administrative Rates</b> included in this proposal have been calculated based on the following:</p> <p><input type="checkbox"/> Our federally negotiated F&amp;A rate for this type of work.</p> <p><input type="checkbox"/> No federal negotiated rate and we hereby agree to accept the 15% de minimis MTDC rate as a subrecipient.</p> <p>In the case of NIH: See NIH Grants Policy Statement <a href="#">Section 7.4 under Grants to Foreign Organizations and International Organizations</a></p> <p><input type="checkbox"/> A reduced F&amp;A rate dictated by the prime sponsor that we hereby agree to accept. Rate: <input type="text"/> Base: <input type="text"/></p> <p><input type="checkbox"/> Not applicable (no indirect cost are requested). If checked, please specify rationale in Comment Section below.</p> <p><input type="checkbox"/> Indirect costs are not separately requested as costs are fully burdened.</p> <p>2. <b>Fringe Benefit Rates</b> included in this proposal have been calculated based on the following:</p> <p><input type="checkbox"/> Rates are consistent with our federally negotiated rates.</p> <p><input type="checkbox"/> Other rates (please specify in Comment Section below the basis on which the rate has been calculated)</p> <p><input type="checkbox"/> Fringe Benefits are not separately requested as costs are fully burdened.</p> <p>3. <b>Financial Conflict of Interest</b></p> <p><input type="checkbox"/> Subrecipient organization/institution has an active and enforced policy on conflict of interest consistent with the sponsor's requirements.</p> <p>Examples:</p> <ul style="list-style-type: none"><li>• DOE follows FAL 2022-02</li><li>• NASA follows GCAM Section 3.3</li><li>• NSF follows PAPPG Part II Chapter IX. A</li><li>• PHS follows the provision of 42 CFR Part 50 Subpart F</li></ul> <p><input type="checkbox"/> Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by U-M's policy. To comply with U-M's policy, please attach a completed <a href="#">Non-UM Affiliate Disclosure Form</a> for each investigator on this project.</p> <p>4. <b>Ethics in Research Training</b></p> <p>Applicable to projects funded by NSF or any other programs requiring Ethics in Research Training.</p> <p><input type="checkbox"/> Not applicable because this project is not being funded by NSF or any other programs requiring Ethics in Research Training.</p> <p><input type="checkbox"/> Subrecipient organization/institution will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by this NSF proposal will be trained on the oversight in the responsible and ethical conduct of research.</p> <p>5. <b>Debarment, Suspension, Proposed Debarment</b></p> <p>Are the Subrecipient Institution or any of its principals who are participating in this project debarred, suspended, proposed for debarment, declared ineligible, voluntarily excluded from receiving funds from any federal department or agency, or delinquent on any federal debt? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If <b>YES</b>, please explain in Comment Section below.</p> <p>If <b>NO</b>, the Organization Certifies it (answer <u>all</u> questions below):</p> <p><input type="checkbox"/> <b>is not</b> presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts.</p> <p><input type="checkbox"/> <b>is not</b> presently indicted for, or otherwise criminally or civilly charged by a government agency.</p> <p><input type="checkbox"/> <b>has not</b> within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining , attempting to obtain, or performing a public (federal, state, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commissions of contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements or receiving stolen property.</p> <p><input type="checkbox"/> <b>has not</b> within 3 years preceding this offer, had one or more contracts terminated for default by any federal agency.</p>

